



Genworth®  
Financial

Genworth Life & Annuity  
Genworth Life  
Genworth Life of New York  
PO Box 40016  
Lynchburg, VA 24506-4016

# Minnesota settlement contract questionnaire

from Genworth Life and Annuity Insurance Company, Genworth Life Insurance Company and Genworth Life Insurance Company of New York†

• Please print clearly.

†Only Genworth Life Insurance Company of New York is licensed in New York.

## Policy information

Insured name *Please print* Birth date *mm/dd/yyyy*

Owner name *Please print* Policy number

## Important information

### Fraud Warning/Disclosure

Minnesota law says a fraudulent act includes presenting false material information, or concealing material information, in connection with this questionnaire. Please talk with your attorney if you have any questions.

### Purpose of this Questionnaire

You have asked Us to change the owner(s) or the beneficiary(ies) of this policy. This questionnaire helps Us determine if those changes relate to a Settlement Contract.

### Definition of Settlement Contract

The Genworth Financial companies listed above are referred to as "Us" in this document.

The policyowner is referred to as "You" in this document.

A Settlement Contract is an agreement between You and another person. You agree to assign, transfer, sell, devise or bequeath all or part of Your interest in the policy or the policy death benefit. The other person agrees to pay to or give to You or someone else an amount (or something of value) that is less than the expected policy death benefit.

A Settlement Contract also includes a loan where You or the Insured are told You will receive money in the future when the policy is sold or You or the Insured agrees to sell the policy or any part of the death benefit.

If a trust or other entity owns the policy and the Insured lives in Minnesota, a Settlement Contract also includes such an agreement if the trust or other entity was formed or used primarily to acquire the policy.

1. Have you signed or agreed to sign a Settlement Contract? If yes, include a copy of the contract and answer the questions below. If no, sign and return this form.  Yes  No
2. Other than the Settlement Contract, is there an agreement or understanding between You, the insured, or any owner of a beneficial interest in the policy, and anyone else to guarantee any liability or to buy, or stand ready to buy, the policy or an interest therein, including through an assumption or forgiveness of a loan? Provide a copy of that agreement. If the agreement is not written, provide a written explanation of the agreement and when you made the agreement.  Yes  No
3. Has the Insured paid any of the premiums on this policy?  Yes  No
  - a. Did the Insured borrow money to pay any premiums? If 'yes', provide copies of all loan documents.  Yes  No
  4. Did someone other than the Insured pay any premiums?  Yes  No
    - a. Did that person or entity borrow money to pay premiums? If 'yes', provide copies of all loan documents.  Yes  No
    - b. Is that person related to the insured by blood or by law?  Yes  No  
If 'yes', indicate the relationship: .....
    - c. Does that person have a lawful and substantial economic interest in the continued life of the insured? If 'yes', explain that interest: .....  
.....
5. Was the insured's life expectancy evaluated in the 18 months immediately prior to the date the policy was issued? "Life expectancy evaluation" means an evaluation conducted by any person other than the insurer or its authorized representatives for the purpose of projecting or estimating how long a particular individual is expected to live.  Yes  No
  - a. Were the results of that evaluation shared with or used by any person in determining the actual or potential value of the policy in the secondary market?  Yes  No

## Signature

I certify that the answers I have given in this questionnaire are true and complete to the best of my knowledge and belief.

Signature of owner and title (if applicable)

Date

X